| I. FPI GENER | AL INFORMATION - Quar | terly - DDP | | | | | | | | |
|--------------|--|--------------------------|------------------|----------------------|-------------------------------------|--|--|--------------------------|--|---|
| FPI Name* | FPI Registration No.* (Duplicate not allowed) | Date of Registration* | Category of FPI* | Sub category of FPI* | Registered/Resident State of FPI | Registered/Resident Country of FPI* | Name of central Bank of resident country of FPI | Applicable Jurisdiction* | Name of Securities Market Regulator | Whether resident country/state/jurisdic tion of FPI has signed bilateral MOU or not* |
| short text | Alpha numeric 12 digits | date | List give below | List | short text | short text | List with edit filed | short text | List with edit filed | Yes/No |
| | | | CAT 1 | | | | | | | |
| | | | CAT 2 | | | | | | | |
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II. NRI/OCI/RI Reporting - Quarterly-DDP

| FPI Registration No.* | Whether NRI/OCI/RI individuals are in control of the FPIs.* | Whether the applicant is controlled by an investment manager (not registered with SEBI) who in turn is controlled by NRI/OCI/RI * | Whether the FPI is non- investing FPI * | Whether NRIs/ OCIs/ RIs are investors in the FPI and contributions by single NRI/OCI/RI including those of NRI/OCI/RI controlled Investment Manager are below 25 % and in aggregate is below 50 % of the corpus of FPI * | Actual Date of Compliance* |
|--------------------------|--|--|--|--|---|
| | Yes/No | Yes/No | Yes/No | Yes/No | short text |
| | | | | | display instruction to fill "actual date of compliance / to be complied within 2 years/ Not complied/ Not applicable") |
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| III. FPI CHAN | GES IN MATERIAL INFORI | MATION W | HERE THE DEALY I | S MORE THEN 6 MONTHS- N | Ionthly-DDP | | | | | |
|--------------------------|---|------------------------------|---|---|--|--|---|---|----------------------------------|------------------------------------|
| FPI Registration No.* | Nature of change in material information* | Effective date of change* | Date of intimation of change by FPI* | Date of intimation by DDP to SEBI (if applicable) | Before Change in Material Information * | After Change in Material Information* | Any change in eligibility of FPI entity* | Confirmation about Change in Grouping* | List of earlier names of the FPI | Reason for delay in intimation* |
| | LIST give below | date | date | Date - Mandatory except for the four cases | Long text | long text | Yes/No | Yes/No | long text | long text |
| | Change in Name | | | Not applicable | | | | | | |
| | Change in BO By Control | | | Not applicable | | | | | | |
| | Change in BO By Ownership | | | Not applicable | | | | | | |
| | Change in only Grouping | | | | | | | | | |
| | Change in BO and Grouping | | | | | | | | | |
| | Change in SMO | | | Not applicable | | | | | | |
| | Change in Structure | | | | | | | | | |
| | Change in Category | | | | | | | | | |
| | Change in Sub-Category | | | | | | | | | |
| | Merger, Demerger or Restructuring | | | | | | | | | |
| | Address change having an effect on the Country of registration | | | | | | | | | |
| | Change in status of Compliant Jurisdiction | | | | | | | | | |
| | Change in Regulatory Status | | | | | | | | | |
| | Addition/ Removal of Sub – fund/ share class/ equivalent structure | | | | | | | | | |
| | Changes affecting the Fit and proper Criteria | | | | | | | | | |
| | Other Changes | | | | | | | | | |

| IV. LEI Non-co | ompliant FPIs - Quarterly | -Custodiar | <u>1</u> | |
|---|---------------------------|---------------------------|--|--|
| FPI Registration No.* (Select as filled in FPI General Info and Duplicate not allowed) | LEI Number* | Date of Expiry of LEI* | Date of Renewal of LEI/ Date of unfreezing* | |
| | Alphanumeric 20 digits | date | date | |
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| have not submitted gran | ular BO deta | ails within the tim |
|---|---|---|
| Criteria for Granular Disclosures* | Date of Ineligibility/ Blocking the account* | |
| List given below: | | |
| Para 7(a)-More than 50% in Singe Corporate group | | |
| Para 7(b) - More than 25000 Cr AUC | | |
| | | |
| FPIs granted exemption | under Circu | lar dated Aug 24 |
| | List of | |
| Examption grapted* | Documentary | Remarks by DDP |
| Exemption granted | Submitted by | Remarks by DDP |
| | FPI* | |
| List given below: | | |
| Para 8(a) , Para 8(b), Para 8(c), Para | | |
| 8(d), Para 8(e), Para 8(f), Para 8(g) | | |
| | | |
| | | |
| | Criteria for Granular Disclosures* List given below: Para 7(a)-More than 50% in Singe Corporate group Para 7(b) - More than 25000 Cr AUC FPIs granted exemption Exemption granted* List given below: Para 8(a) , Para 8(b), Para 8(c), Para | Criteria for Granular Disclosures* Ineligibility/ Blocking the account* List given below: - Para 7(a)-More than 50% in Singe Corporate group - Para 7(b) - More than 25000 Cr AUC - Para 7(b) - More than 25000 Cr AUC - FPIs granted exemption - List of Documentary - Exemption granted* List of Documentary Evidences Submitted by FPI* - Para 8(a), Para 8(b), Para 8(c), Para - |