## HSBC Securities and Capital Markets (India) Private Limited – (Stock broker - INZ000234533)

## Stock Broker Complaint Data for month – 30 April 2025

SN	Received From	Carried forward from previous month	Received during the month	Total Pending	Resol ved *	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Inventors	0	0	0	0	0	0	0
2	SEBI (SCORE)	0	0	0	0	0	0	0
3	Stock Exchange	0	0	0	0	0	0	0
4	Other Sources (If any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

## **Trend of monthly disposal of complaints**

SN	Month	Carried forward from	Received	Resolved *	Pending **
		previous month			
1	May - 2024	NIL	NIL	NIL	NIL
2	June - 2024	NIL	NIL	NIL	NIL
3	July - 2024	NIL	NIL	NIL	NIL
4	August - 2024	NIL	NIL	NIL	NIL
5	September - 2024	NIL	NIL	NIL	NIL
6	October - 20204	NIL	NIL	NIL	NIL
7	November - 2024	NIL	NIL	NIL	NIL
8	December - 2024	NIL	NIL	NIL	NIL
9	January -2025	NIL	NIL	NIL	NIL
10	February -2025	NIL	NIL	NIL	NIL
11	March - 2025	NIL	NIL	NIL	NIL
12	April - 2025	NIL	NIL	NIL	NIL

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.

<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

## **Trend of annual disposal of complaints**

SN	Month	Carried forward	Received	Resolved	Pending at the
		from previous year	during the year	during the year	end of the year
1	2017-18	0	1	0	1
2	2018-19	1	0	1	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
8	2024-25	0	0	0	0
	<b>Grand Total</b>	1	1	1	1