

HSBC Securities and Capital Markets (India) Private Limited – (Stock broker - INZ000234533)**Stock Broker Complaint Data for month – 30 September 2022**

S N	Received From	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Inventors	0	0	0	0	0	0	0
2	SEBI (SCORE)	0	0	0	0	0	0	0
3	Stock Exchange	0	0	0	0	0	0	0
4	Other Sources (If any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	October -2021	NIL	NIL	NIL	NIL
2	November -2021	NIL	NIL	NIL	NIL
3	December -2021	NIL	NIL	NIL	NIL
4	January -2022	NIL	NIL	NIL	NIL
5	February -2022	NIL	NIL	NIL	NIL
6	March – 2022	NIL	NIL	NIL	NIL
7	April – 2022	NIL	NIL	NIL	NIL
8	May – 2022	NIL	NIL	NIL	NIL
9	June -2022	NIL	NIL	NIL	NIL
10	July -2022	NIL	NIL	NIL	NIL
11	August -2022	NIL	NIL	NIL	NIL
12	September -2022	NIL	NIL	NIL	NIL

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of annual disposal of complaints

SN	Month	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	1	0	1
2	2018-19	1	0	1	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
	Grand Total	1	1	1	1