

**HSBC Securities and Capital Markets India Private Limited – Research Analyst (INH000001287)****Stock Broker Complaint Data for month – 31 October 2022**

S N	Received From	Carried forward from previous month	Received during the month	Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Inventors	0	0	0	0	0	0	0
2	SEBI (SCORE)	0	0	0	0	0	0	0
3	Stock Exchange	0	0	0	0	0	0	0
4	Other Sources (If any)	0	0	0	0	0	0	0
5	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	November -2021	NIL	NIL	NIL	NIL
2	December -2021	NIL	NIL	NIL	NIL
3	January -2022	NIL	NIL	NIL	NIL
4	February -2022	NIL	NIL	NIL	NIL
5	March – 2022	NIL	NIL	NIL	NIL
6	April – 2022	NIL	NIL	NIL	NIL
7	May – 2022	NIL	NIL	NIL	NIL
8	June -2022	NIL	NIL	NIL	NIL
9	July -2022	NIL	NIL	NIL	NIL
10	August – 2022	NIL	NIL	NIL	NIL
11	September – 2022	NIL	NIL	NIL	NIL
12	October – 2022	NIL	NIL	NIL	NIL

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

<b>SN</b>	<b>Month</b>	<b>Carried forward from previous year</b>	<b>Received during the year</b>	<b>Resolved during the year</b>	<b>Pending at the end of the year</b>
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>