

**Annexure – B**

**HSBC Securities and Capital Markets (India) Private Limited – (Stock broker - INZ000234533)**

**Stock Broker Complaint Data for month – 31 July 2024**

S N	Received From	Carried forward from previous month	Received during the month		Total Pending	Resolved *	Pending at the end of the month**		Average Resolution time^ (in days)
							Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Inventors	0	0		0	0	0	0	0
2	SEBI (SCORE)	0	0		0	0	0	0	0
3	Stock Exchange	0	0		0	0	0	0	0
4	Other Sources (If any)	0	0		0	0	0	0	0
5	<b>Grand Total</b>	<b>0</b>	<b>0</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved *	Pending **
1	August - 2023	NIL	NIL	NIL	NIL
2	September -2023	NIL	NIL	NIL	NIL
3	October - 2023	NIL	NIL	NIL	NIL
4	November - 2023	NIL	NIL	NIL	NIL
5	December - 2023	NIL	NIL	NIL	NIL
6	January - 2024	NIL	NIL	NIL	NIL
7	February - 2024	NIL	NIL	NIL	NIL
8	March - 2024	NIL	NIL	NIL	NIL
9	April - 2024	NIL	NIL	NIL	NIL
10	May - 2024	NIL	NIL	NIL	NIL
11	June - 2024	NIL	NIL	NIL	NIL
12	July - 2024	NIL	NIL	NIL	NIL

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

SN	Month	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	1	0	1
2	2018-19	1	0	1	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
	<b>Grand Total</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>1</b>