

Connected Party - Intermediate Owner (Annexure 2)

Connected Party - Intermediate Owner (5)				
This section can be completed using the details within your ownership structure chart. A copy of this will need to be provided.				
- Based on the above definition, Please confirm if there is/are any Intermediate Owner(s) (IO) of the applicant entity with shareholding of 10% or more	<input type="checkbox"/> No	<input type="checkbox"/> Yes	- If YES please provide the details for each Intermediate Owner in the table below - In addition to the above, if the customer is a partnership, please provide the detail for each of the partner which is a listed entity	
Registered name of the entity				
Trading As Name				
Percentage ownership		Percentage voting rights (if different than ownership)		
Address of Registration/Incorporation/ Establishment	City _____ State _____ Country _____			
Is the entity listed on Stock Exchange	<input type="checkbox"/> No	<input type="checkbox"/> Yes	Name of Stock Exchange: _____	
Is the entity capable of issuing Bearer Shares?	<input type="checkbox"/> No	<input type="checkbox"/> Yes, but no Bearer Shares have been issued	<input type="checkbox"/> Yes, Bearer Shares have been issued and deposited with custodian	<input type="checkbox"/> Yes, Bearer Shares have been issued and not deposited with custodian
Please select the most appropriate nature of operating structure.	<input type="checkbox"/> Trust <input type="checkbox"/> Foundation <ul style="list-style-type: none"> What is the nature, purpose and objective of the trust? _____ What is the Trust/Foundation's class of beneficiaries? _____ What is the approximate number of beneficiaries? _____ Name of Regulator? (where applicable) _____ 			
	<input type="checkbox"/> NPO	<input type="checkbox"/> Government or State owned body	<input type="checkbox"/> Others (please specify) _____	

Connected Party - Intermediate Owner (6)				
This section can be completed using the details within your ownership structure chart. A copy of this will need to be provided.				
- Based on the above definition, Please confirm if there is/are any Intermediate Owner(s) (IO) of the applicant entity with shareholding of 10% or more	<input type="checkbox"/> No	<input type="checkbox"/> Yes	- If YES please provide the details for each Intermediate Owner in the table below - In addition to the above, if the customer is a partnership, please provide the detail for each of the partner which is a listed entity	
Registered name of the entity				
Trading As Name				
Percentage ownership		Percentage voting rights (if different than ownership)		
Address of Registration/Incorporation/ Establishment	City _____ State _____ Country _____			
Is the entity listed on Stock Exchange	<input type="checkbox"/> No	<input type="checkbox"/> Yes	Name of Stock Exchange: _____	
Is the entity capable of issuing Bearer Shares?	<input type="checkbox"/> No	<input type="checkbox"/> Yes, but no Bearer Shares have been issued	<input type="checkbox"/> Yes, Bearer Shares have been issued and deposited with custodian	<input type="checkbox"/> Yes, Bearer Shares have been issued and not deposited with custodian
Please select the most appropriate nature of operating structure.	<input type="checkbox"/> Trust <input type="checkbox"/> Foundation <ul style="list-style-type: none"> What is the nature, purpose and objective of the trust? _____ What is the Trust/Foundation's class of beneficiaries? _____ What is the approximate number of beneficiaries? _____ Name of Regulator? (where applicable) _____ 			
	<input type="checkbox"/> NPO	<input type="checkbox"/> Government or State owned body	<input type="checkbox"/> Others (please specify) _____	

Submit original documents for verification against each photocopy submitted where requested by the bank and where applicable.

Each page to be signed by at least 1 signatory as per mandate / Board Resolution.

Authenticate any overwriting/cancellations with full signatures.